

# Towards a new model for Minimum Bias and the Underlying Event in Sherpa

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# Introduction

- The old models: typical description of minimum bias and underlying event in MC generators
- Implementation of the KMR model in Sherpa
- Comparison to LHC data
- Outlook

# A typical model for multiple parton scattering (I)

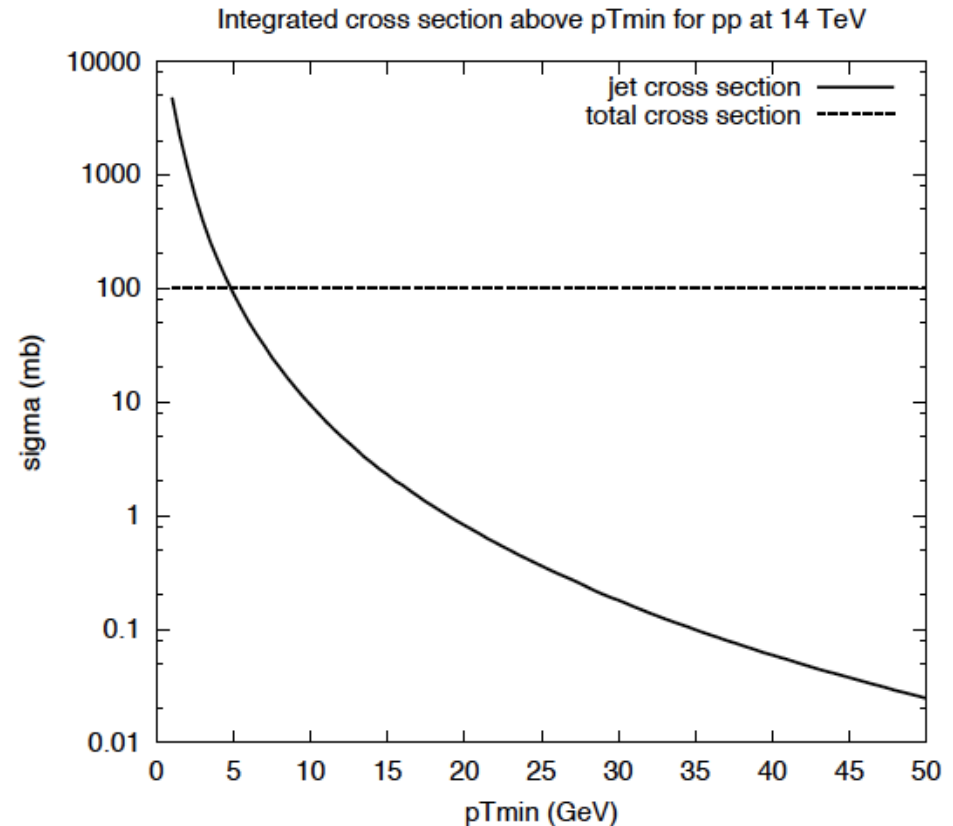
- Interaction rate for QCD 2->2 scatters diverges as

$$d\hat{\sigma}/dp_{\perp}^2 \approx 1/p_{\perp}^4$$

and becomes larger than the total cross section as  $p_{\perp}$  tends towards zero.

- This is interpreted as the onset of multiple partonic scattering proton-proton interactions

$$\sigma_{\text{int}}(p_{\perp\text{min}}) = \iiint_{p_{\perp\text{min}}} dx_1 dx_2 dp_{\perp}^2 f_1(x_1, p_{\perp}^2) f_2(x_2, p_{\perp}^2) \frac{d\hat{\sigma}}{dp_{\perp}^2}$$



## A typical model for multiple parton scattering (II)

$$\sigma_{\text{int}}(p_{\perp\text{min}}) = \iiint_{p_{\perp\text{min}}} dx_1 dx_2 dp_{\perp}^2 f_1(x_1, p_{\perp}^2) f_2(x_2, p_{\perp}^2) \frac{d\hat{\sigma}}{dp_{\perp}^2}$$

- The mean number of partonic interactions is given by

$$\langle n \rangle = \frac{\sigma_{\text{int}}}{\sigma_{\text{nd}}} S(b)$$

where  $S$  defines an overlap of proton matter distributions

- In each event, pick the number of (2->2) partonic interactions according to a Poisson distribution  
(but preserve energy-momentum conservation)

# MPI model as a basis for UE and MB

- Underlying Event (UE):
  - e.g in Z events...
  - generate Z events according to the Z production cross section (LO, NLO, multi-leg.....)
  - generate additional 2->2 partonic scatters
  - pass each partonic scatter through parton shower algorithms, then the full set of partons through a hadronisation algorithm.
- Extension to Minimum Bias (MB)
  - Generate many 2->2 partonic scatters
  - pass each one through parton shower algorithms, then the full set of partons through a hadronisation algorithm.

## Problems with this type of approach

- Diffraction is not included in this picture at all.
- For minimum bias events:
  - Pythia adds a diffractive process by hand, but no MPI between protons
  - Herwig++ ignores diffractive events
- For underlying event scatters:
  - The multiple scatters are always non-diffractive
- It would be nice to have a model that incorporates diffraction by default, for hard and soft processes.....

# Cross-sections in the eikonal approach

- Optical theorem links the elastic, inelastic and total cross-sections through an eikonal function  $\Omega$
- The eikonal function is related to the opacity of the proton

$$\sigma_{\text{tot}}(s) = 2 \int d^2 B_{\perp} \{1 - \exp[-\Omega(s, B_{\perp})/2]\}$$

$$\sigma_{\text{inel}}(s) = \int d^2 B_{\perp} \{1 - \exp[-\Omega(s, B_{\perp})]\}$$

$$\sigma_{\text{el}}(s) = \int d^2 B_{\perp} \{1 - \exp[-\Omega(s, B_{\perp})/2]\}^2$$

# Cross sections in multi-channel eikonal approach

- If the proton is expressed as a linear combination of orthogonal basis states (Good-Walker states)

$$|p\rangle = \sum_{i=1}^S a_i |\phi_i\rangle \quad \langle \phi_i | \phi_k \rangle = \delta_{ik} \quad \text{and} \quad \sum_{i=1}^S |a_i|^2 = 1$$

then the eikonal is replaced by a combination of single channel eikonals,  $\Omega_{ik}$

$$\begin{aligned} \sigma_{\text{tot}}^{pp} &= 2 \int d^2 b_{\perp} \sum_{i,k=1}^S \left\{ |a_i|^2 |a_k|^2 [1 - e^{-\Omega_{ik}(b_{\perp})}] \right\} \\ \sigma_{\text{inel}}^{pp} &= \int d^2 b_{\perp} \sum_{i,k=1}^S \left\{ |a_i|^2 |a_k|^2 [1 - e^{-2\Omega_{ik}(b_{\perp})}] \right\} \\ \sigma_{\text{el}}^{pp} &= \int d^2 b_{\perp} \left\{ \sum_{i,k=1}^S [ |a_i|^2 |a_k|^2 (1 - e^{-\Omega_{ik}(b_{\perp})}) ] \right\}^2 \end{aligned}$$



# The KMR model

- The single-channel eikonals are defined as

$$\Omega_{ik}(Y, B_{\perp}) = \frac{1}{\beta_0^2} \int d^2b_{\perp}^{(1)} d^2b_{\perp}^{(2)} \delta^2 \left( \vec{B}_{\perp} - \vec{b}_{\perp}^{(1)} + \vec{b}_{\perp}^{(2)} \right) \Omega_{i(k)} \left( y, b_{\perp}^{(1)} \right) \Omega_{(i)k} \left( y, b_{\perp}^{(2)} \right)$$

- where each sub-term is obtained from the coupled evolution equations:

$$\frac{d\Omega_{i(k)}(y, b_{\perp}^{(1)}, b_{\perp}^{(2)})}{dy} = \exp \left\{ -\frac{\lambda}{2} \left[ \Omega_{i(k)}(y, b_{\perp}^{(1)}, b_{\perp}^{(2)}) + \Omega_{(i)k}(y, b_{\perp}^{(1)}, b_{\perp}^{(2)}) \right] \right\} \cdot \Delta \cdot \Omega_{i(k)}(y, b_{\perp}^{(1)}, b_{\perp}^{(2)})$$

$$\frac{d\Omega_{(i)k}(y, b_{\perp}^{(1)}, b_{\perp}^{(2)})}{dy} = \exp \left\{ -\frac{\lambda}{2} \left[ \Omega_{i(k)}(y, b_{\perp}^{(1)}, b_{\perp}^{(2)}) + \Omega_{(i)k}(y, b_{\perp}^{(1)}, b_{\perp}^{(2)}) \right] \right\} \cdot \Delta \cdot \Omega_{(i)k}(y, b_{\perp}^{(1)}, b_{\perp}^{(2)})$$

## The KMR model (continued)

- The coupled evolution equations satisfy the following boundary conditions:

$$\Omega_{i(k)}(-Y/2, b_{\perp}^{(1)}) = F_i(b_{\perp}^{(1)})$$

$$\Omega_{(i)k}(+Y/2, b_{\perp}^{(2)}) = F_k(b_{\perp}^{(2)})$$

where the F's are form factors.

# The KMR model implemented Sherpa

- Two channel eikonal used

$$|p\rangle = \frac{1}{\sqrt{2}}|\phi_1\rangle + \frac{1}{\sqrt{2}}|\phi_2\rangle.$$

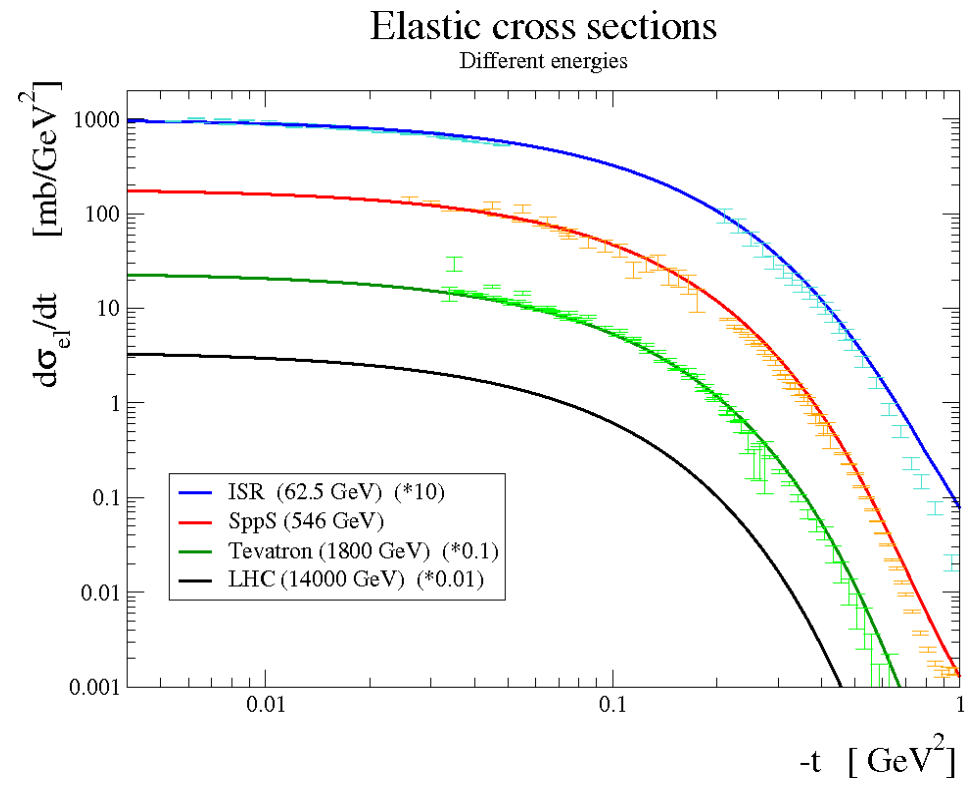
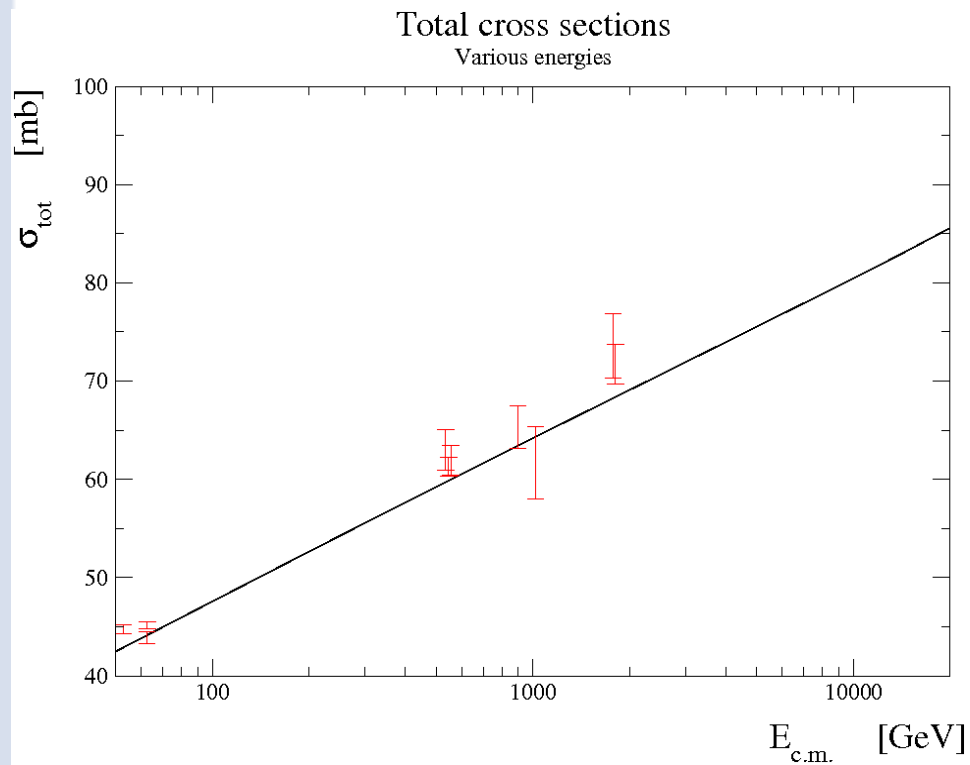
and the form factors (boundary conditions) are assumed to be dipole-like

$$\mathcal{F}_{1,2}(q_{\perp}) = \beta_0^2 (1 \pm \kappa) \frac{\exp\left(-\frac{\xi(1 \pm \kappa)q_{\perp}^2}{\Lambda^2}\right)}{\left(1 + \frac{(1 \pm \kappa)q_{\perp}^2}{\Lambda^2}\right)^2}$$

with  $\Delta = 0.3$ ,  $\lambda = 0.25$ ,  $\beta_0^2 = 30$  mb,  $\kappa = 0.5$ ,  $\Lambda^2 = 1.5$  GeV<sup>2</sup>,  $\xi = 0.225$

# Inclusive results

- Total and elastic cross sections at various centre-of-mass energies



# Event generation using new model in Sherpa (I)

- Select the mode (quasi-elastic, inelastic) according to cross sections (slide 8)
- If quasi-elastic is chosen
  - select elastic, low-mass SD or low-mass DD
  - select momentum transfer according to fourier transform
  - if diffractive, outgoing (diffractive) state is  $N^*(1440)$
  - event generation finished after decay of  $N^*$

## Event generation using new model in Sherpa (II)

- If inelastic is chosen,
  - select Good-Walker state  $\{i,k\}$  according to cross section
  - select impact parameter ( $b$ ) according to integrand.
- Choose number of partonic interactions (or 'ladders' in BFKL language) according to a Poisson distribution with mean set to  $\Omega_{ik}$

# Initialising each ladders

- Select initial state flavour according to IR-continued PDFs
  - assume  $f(x,0) = \text{valence only}$
  - keep norm of valence quarks, renormalise valence gluons to satisfy momentum sum rule
  - switch off sea with  $Q$
- Weight by Regge-motivated cross-section  $(s/s_0)^\eta$ , where  $s_0$  is fixed to reproduce inelastic cross section in this channel  $ik$ ,  $s > s_0$

$$\hat{\sigma}_{ik} = \int_{\hat{s}_{\min}}^{\hat{s}_{\max}} \frac{d\hat{s}}{2\hat{s}} \int_{-y_{\max}}^{y_{\max}} dy \frac{x_+ F_1(x_+, 0) x_- F_2(x_-, 0)}{\hat{s}} \left( \frac{\hat{s}}{\hat{s}_{\min}} \right)^\eta$$

## Generate ladder sub-structure

- t-channel exchange defined as singlet or octet using eikonals
  - $P_{\text{singlet}} = \{1 - \exp[-\lambda (\Omega(y_1) - \Omega(y_2)) / (2\Omega(y_1))]\}^2$
- If singlet, no emission allowed, i.e. rapidity gap, ladder complete.
- If octet, gluon emission generated according to pseudo-Sudakov:
  - Ordered in rapidity over allowed phase space

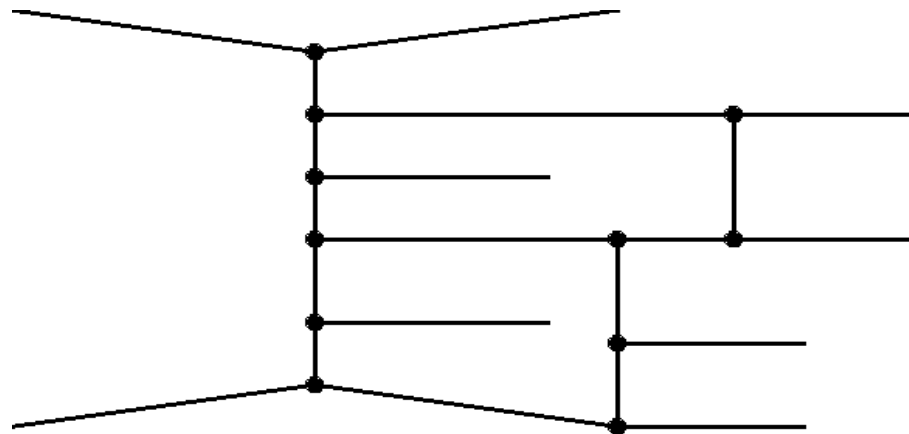
$$\exp \left\{ - \int_{y_0}^{y_1} dy \int dk_{\perp}^2 \frac{C_A \alpha_s(k_{\perp}^2)}{\pi} f(k_{\perp}^2) \min \left( 1, \frac{k_0^2}{q^2} \right)^{\frac{C_A \alpha_s(k_0^2)}{\pi} |y - y_0|} \exp \left[ -\frac{\lambda}{2} (\Omega_{i(k)}(y) + \Omega_{(i)k}(y)) \right] \right\}$$

- These three steps are iterated. Emission ends when:
  - no more rapidity can be squeezed in, or
  - colour singlet configuration reached



# Rescattering

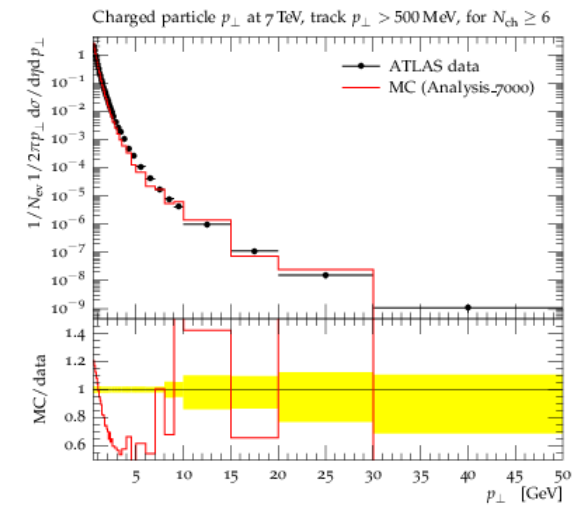
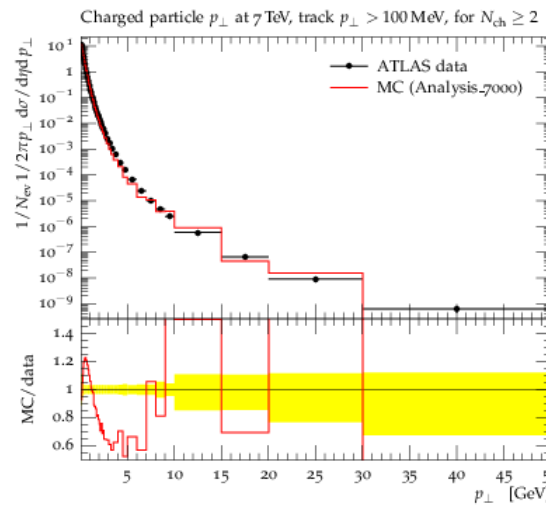
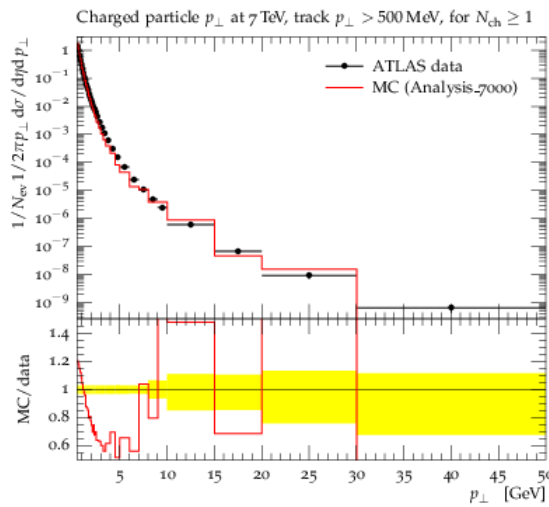
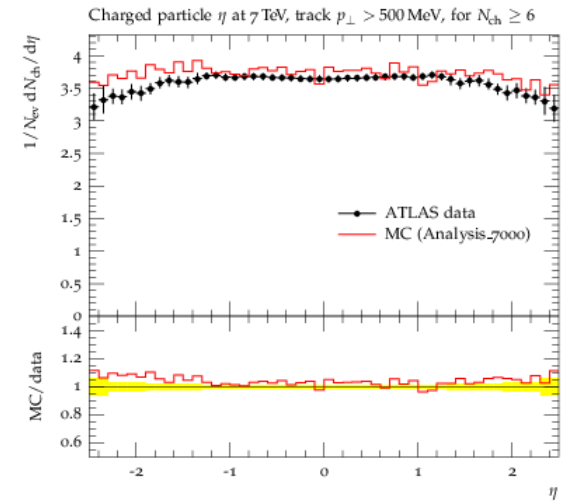
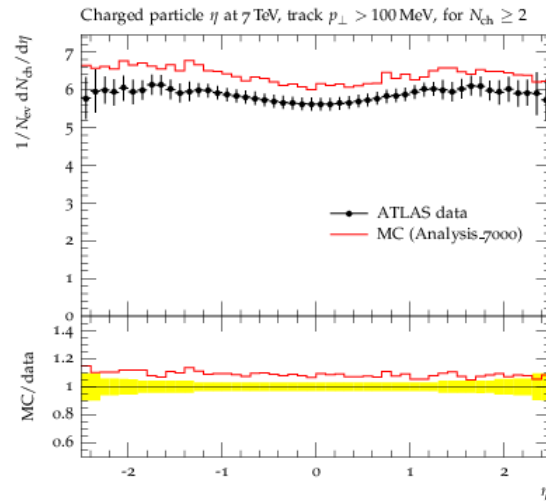
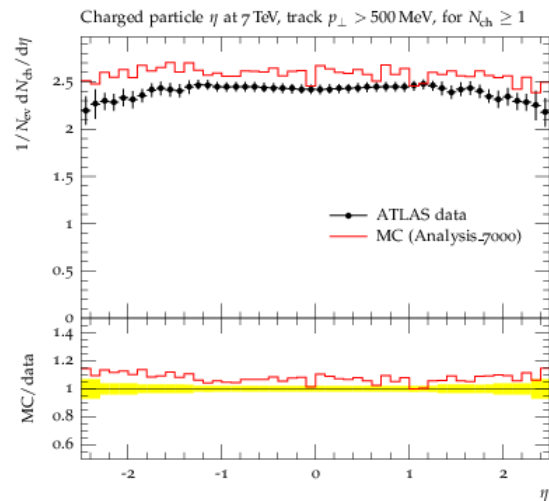
- BFKL resums ladders within ladders, so need to allow rescattering between emitted gluons
  - Iterate over all pairs of partons
  - Construct rescattering probability for each pair as
$$P_{\text{rescatter}} = 1 - \exp\{-\lambda/2 [\Omega(y_1) - \Omega(y_2)] / (\Omega(y_1))\}$$
  - Check each pair (compare with random number)



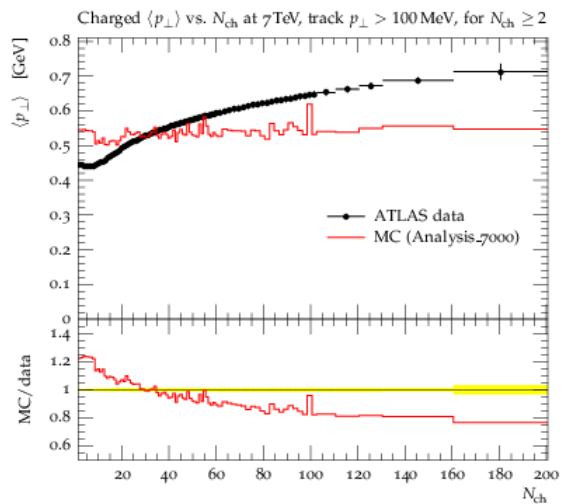
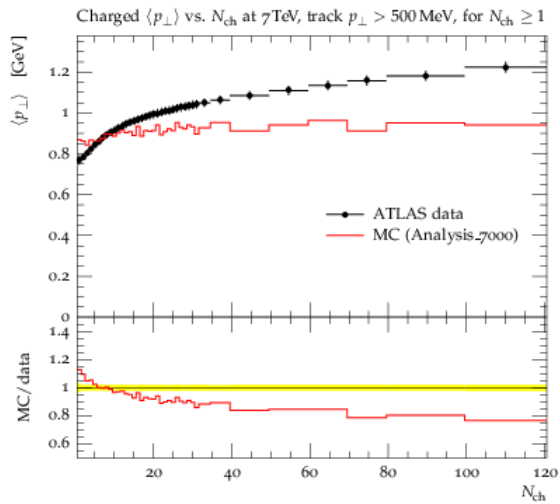
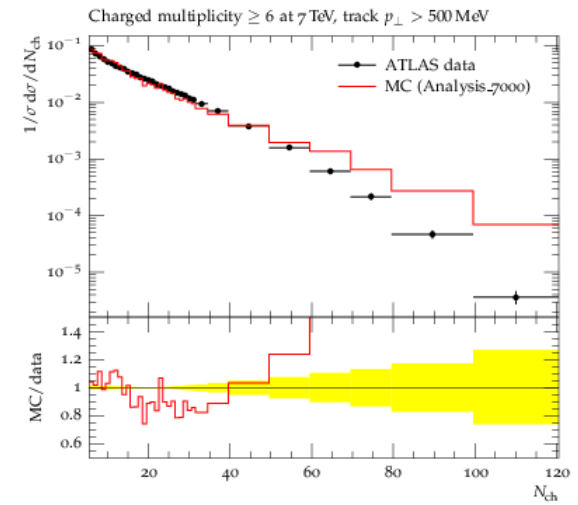
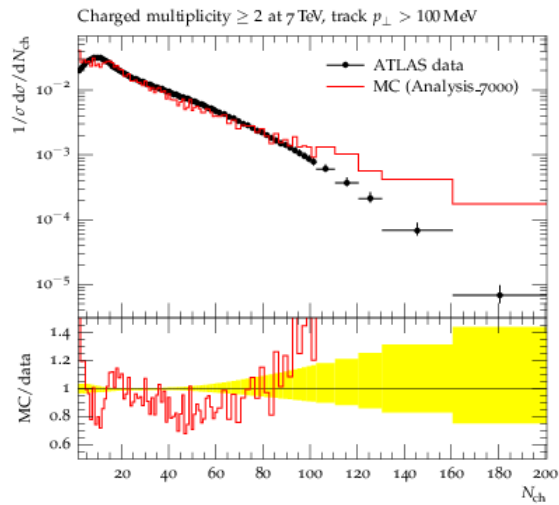
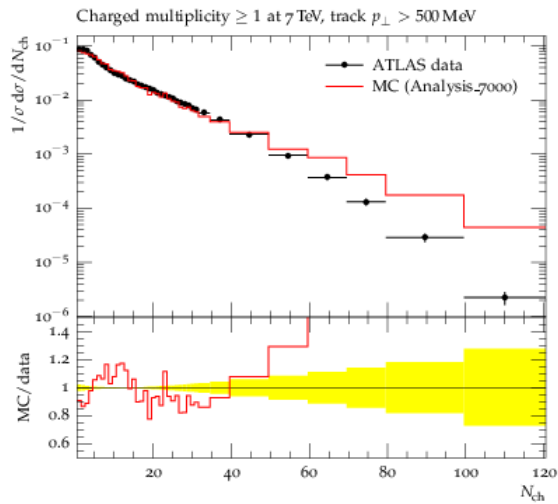
# Untuned results

- Parameters of model:
  - $Q_0 = 2 \text{ GeV}$ ,  $\Delta = 0.4$ ,  $\lambda = 0.5$ ,  $\Lambda = 1.5 \text{ GeV}$ ,  $\xi = 0.225$ ,  $\kappa = 0.55$
- Inclusive quantities:
  - $\sigma_{\text{tot}} = 99.2 \text{ mb}$ ,  $\sigma_{\text{inel}} = 68.5 \text{ mb}$ ,  $\sigma_{\text{el}} = 24.5 \text{ mb}$ ,  $\sigma_{\text{SD}} = 5.6 \text{ mb}$
  -
- 3.26 primary ladders/event, 5.2 in total (including rescattering)
- 22% of ladders have singlet, out of which 45% are hardest
- Colour reconnections switched off (can enable some if desirable)

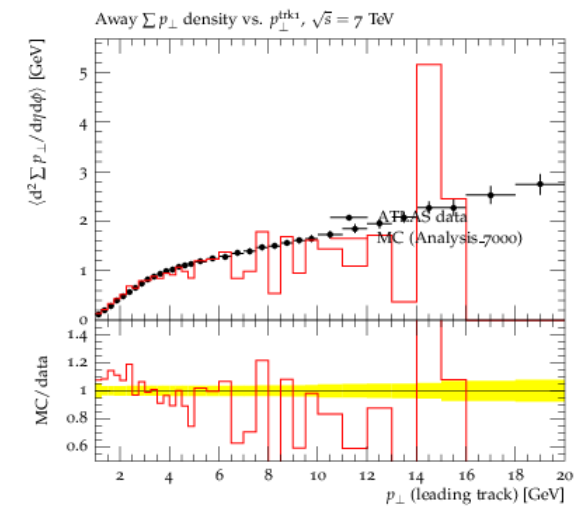
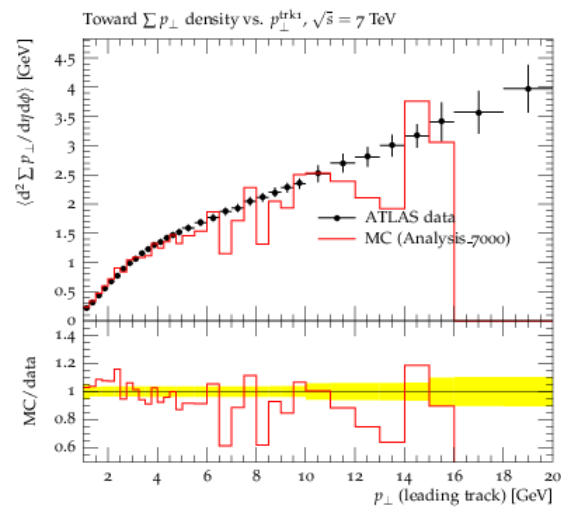
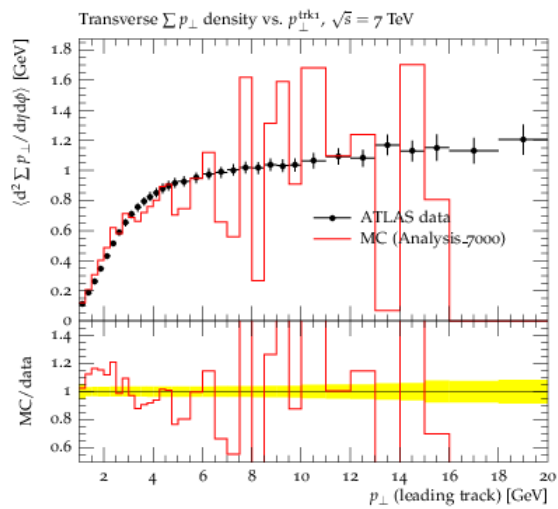
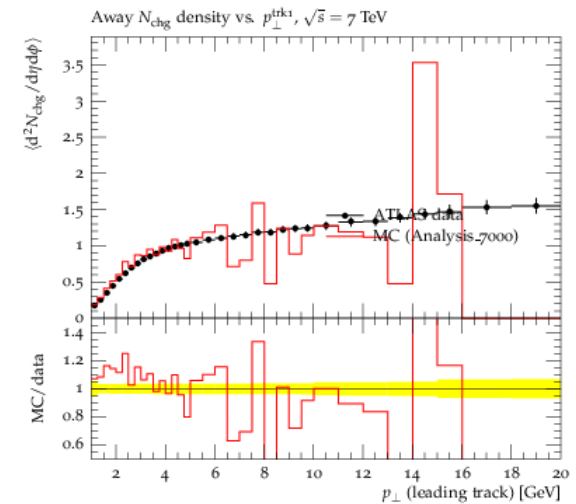
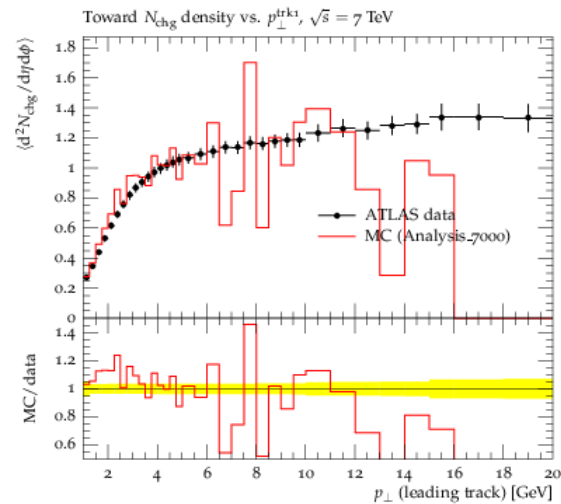
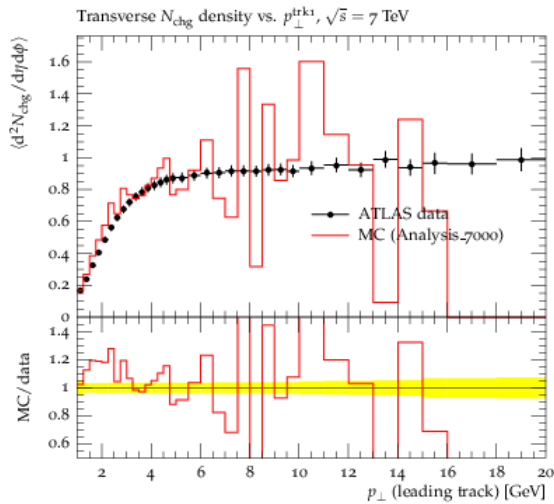
# Comparison to LHC data (I)



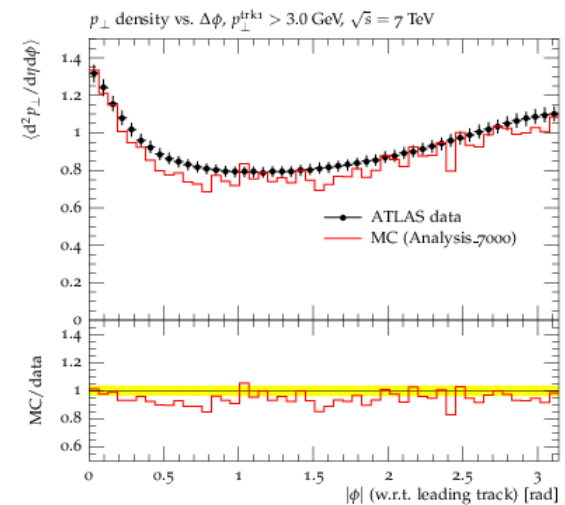
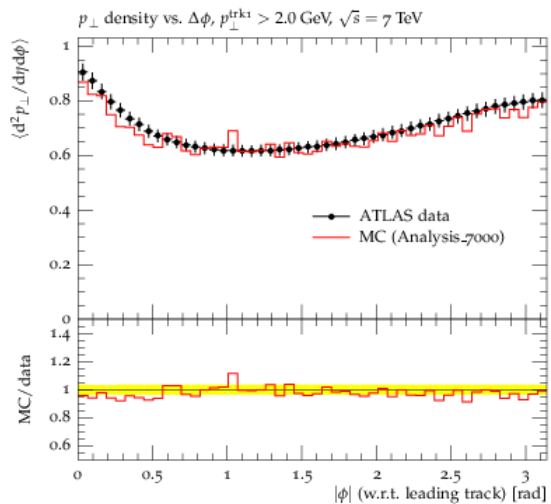
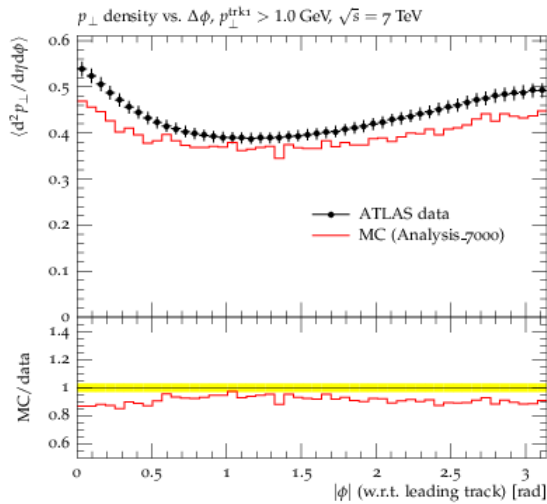
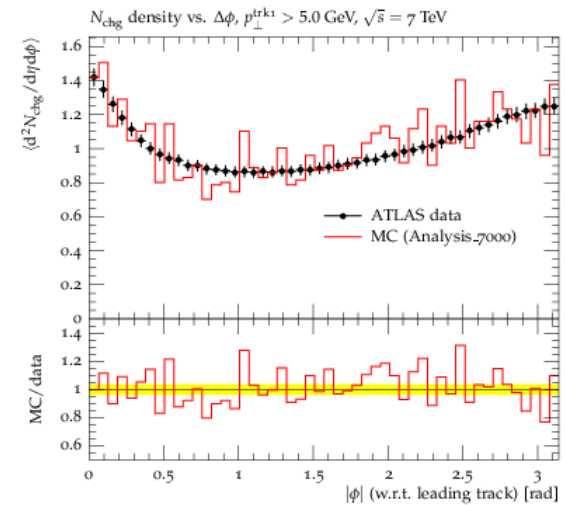
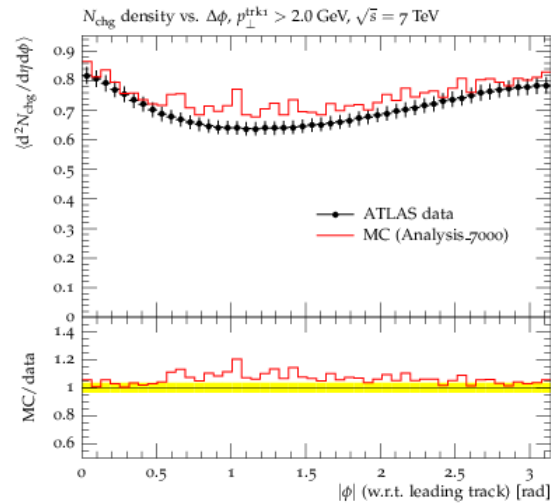
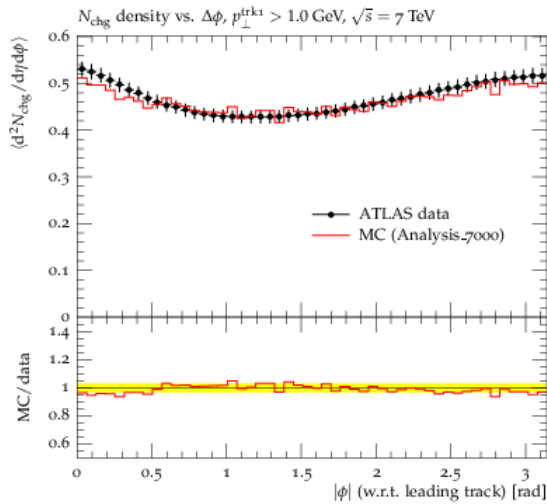
# Comparison to LHC data (II)



# Comparison to LHC data (III)



# Comparison to LHC data (IV)



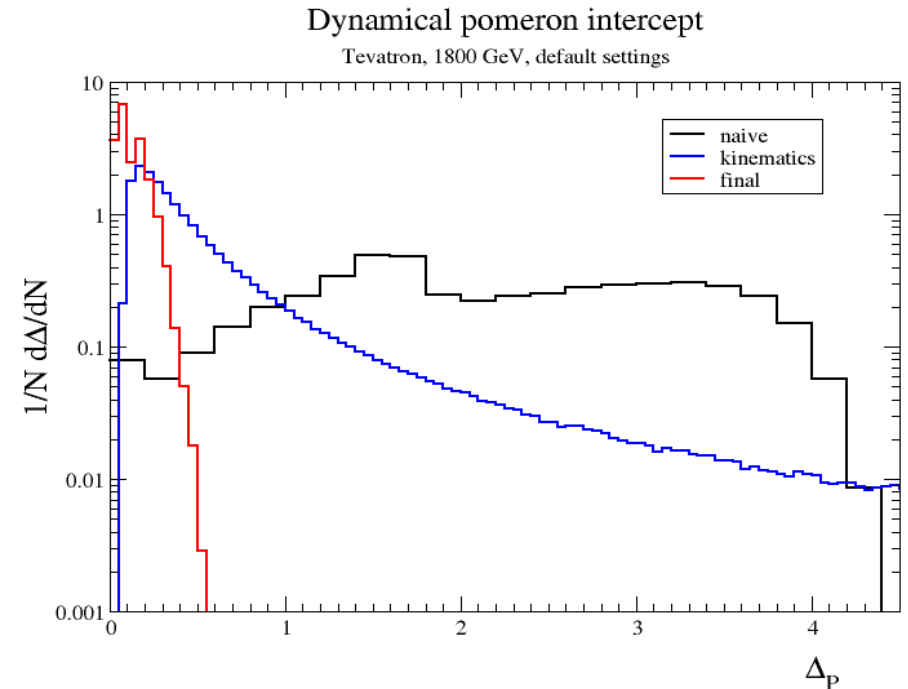
# Summary and Outlook

- Implementation of the KMR model as a two-state model in the Sherpa event generator
  - Can add further states/reggeons (important for low energies)
  - Added dynamical picture of the gluon ladders
- Sherpa implementation is currently being tuned to latest LHC data
  - First release of model is imminent
  - Can use tuned output with string AND cluster hadronisation
- Still to come:
  - quarks in ladders, photons, mesons (quarkonia)
  - transformation into an underlying event model

# Filling the ladders

- Generate emissions in between, according to “Sudakov form factor”

$$\begin{aligned}
 S(y_0, y_1) = \exp & \left\{ - \int_{y_0}^{y_1} dy \int dk_{\perp}^2 \frac{\alpha_s(k_{\perp}^2 + K_0^2)}{(k_{\perp}^2 + K_0^2)} \right. \\
 & \times \left[ \frac{K_0^2}{q^2 + K_0^2} \right]^{\frac{3\alpha_s(q^2 + K_0^2)}{\pi} |y - y_0|} \\
 & \left. \times \exp \left[ - \frac{\lambda}{2} \left( \Omega_{i(k)}(y) + \Omega_{(i)k}(y) \right) \right] \right\}
 \end{aligned}$$



- dynamical pomeron intercept
- Reweight ladder with ME  $\sim 1/t_{\text{hardest}}$  for hardest emission
- Note: At this point strictly t-channel, filling stops when either no more  $y$  can be “squeezed” in, or when “active”  $y$ -interval goes to singlet colour config.



# Treatment of colours

(→ hard diffraction)

- In principle, BFKL equation resums “ladders in ladders”
- In as purely gluonic picture, this means that each t-channel propagator at LO is a (reggeised) gluon, but at all orders it is in a colour state given by something like  $C = [8] + [8]*[8] + [8]*[8]*[8] \dots$
- Take a look at the  $[8] * [8]$ : Its decomposition is something like  
 $[8] * [8] = [27] + [10] + [10] + [8] + [8] + [1]$ ,  
i.e. containing a singlet state.
- Will treat anything else as octet. Decision of whether singlet or octet based on eikonals → singlet if elastic scattering between two rapidities  $y_1$  and  $y_2$ .

$$P_{\text{singlet}} = \{1 - \exp[-\lambda (\Omega(y_1) - \Omega(y_2)) / (2\Omega(y_1))]\}^2$$

# IR-continued PDFs

